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1632  
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ATTORNEY'S DOCKET NO: C1039/7057 (HCL/MAT).

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Davis, et al.  
Serial No.: 09/965,101  
For: VECTORS AND METHODS FOR IMMUNIZATION OR THERAPEUTIC  
PROTOCOLS  
Filed: September 26, 2001  
Examiner: Not yet assigned  
Art Unit: 1632

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on May 1, 2002.

Maria A. Trevisan Reg. No.: 48,207

Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL**

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- ☒ Information Disclosure Statement/1449/references cited
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is required. If any fee is determined to be due by the Examiner, the Examiner is authorized to charge the appropriate fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

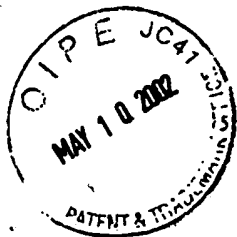
Maria A. Trevisan, Reg. No. 48,207  
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(617)720-3500  
Attorneys for Applicants

Attorney Docket No. C1039/7057  
Date: May 1, 2002  
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Sir:

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- ☒ Request for Corrected Filing Receipt
- ☒ Copy of the Filing Receipt showing corrections in red
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No fee is required. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

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Attorney Docket No. C1039/7057  
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ATTORNEY'S DOCKET NO: C1039/7057 (HCL/MAT)

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Maria A. Trevisan Reg. No.: 48,207

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**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Please correct the filing receipt as indicated on the enclosed copy in red as outlined below.

Please change the title of the invention from "Vectors and methods for immunization or therapeutic protocols" to -Vectors and methods for immunization or therapeutic protocols--.

If you have any questions or need any assistance, please do not hesitate to contact the undersigned.

Respectfully Submitted,

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO         | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-------------------------|----------|------------|------------|
| 09/965,101         | 09/26/2001  | 1632         | 1250          | C1039/7057<br>(HCL/MAT) | 15       | 50         | 2          |

CONFIRMATION NO. 3959 ✓

## FILING RECEIPT



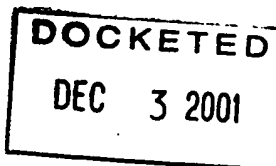
\*OC000000007093844\*

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Date Mailed: 11/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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|                  | Initials                            |
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## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/082,649 05/20/1998  
WHICH CLAIMS BENEFIT OF 60/047,209 05/20/1997  
AND CLAIMS BENEFIT OF 60/047,233 05/20/199709/26/02(2) - aye  
12/06/02  
12/19/01

## Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

## Title

therapeutic  
Vectors and methods for immunization or therapeutic protocols